

Electronic Acknowledgement Receipt

EFS ID:	5293905
Application Number:	10517960
International Application Number:	
Confirmation Number:	3767
Title of Invention:	System and method for analyzing and displaying security trade transactions
First Named Inventor/Applicant Name:	Douglas Ray Duquette
Customer Number:	39310
Filer:	Nicole Ellison Lammers
Filer Authorized By:	
Attorney Docket Number:	05-1124
Receipt Date:	07-MAY-2009
Filing Date:	14-DEC-2004
Time Stamp:	14:15:40
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	354
Deposit Account	132490
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. 1.492 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	IDS_Form.pdf	1005813 854f676d4d46a10320c806678d5dc7d9a900	no	4

Warnings:**Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	29802 d9865aba241ab71db4e223f118d6e388f8bbec2	no	2
---	-------------------------	--------------	--	----	---

Warnings:**Information:**

Total Files Size (in bytes):	1035615
-------------------------------------	---------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.